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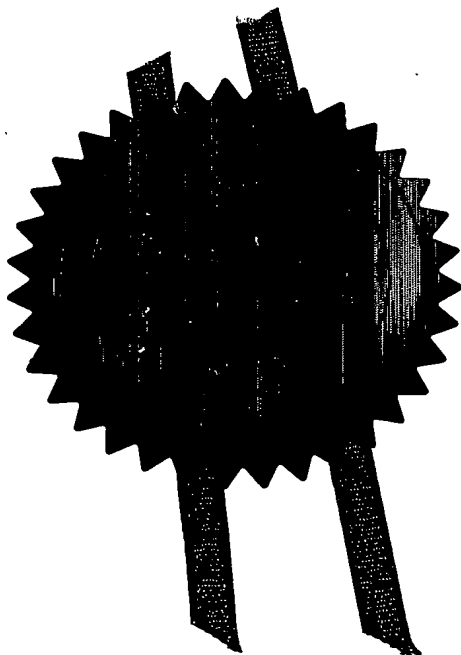
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Patents Form 1/77  
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1/77

### Request for grant of a patent

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1.	Your reference	N-33325P2	29JAN04 E869062-1 D00245 P01/7780 0.00-0401867.7 NONE
2.	Patent application number (The Patent Office will fill in this part)	0401867.7	28 JAN 2004
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	NOVARTIS NUTRITION AG MONBIJOUSTRASSE 118 3007 BERN SWITZERLAND	
	Patent ADP number (if you know it)		
	If the applicant is a corporate body, give the country/state of its incorporation	SWITZERLAND	7143562003
4.	Title of invention	Organic Compounds	
5.	Name of your agent (If you have one)	Craig McLean	
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	Novartis Pharmaceuticals UK Limited Patents and Trademarks Wimblehurst Road Horsham, West Sussex RH12 5AB	
	Patents ADP number (if you know it)	07181522002	
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)
			Date of filing (day/month/year)
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day/month/year)
8.	Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. (see note (d))	Yes	

## Patents Form 1/77

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Continuation sheets of this form

Description 26

Claim(s) 4

Abstract 1

Drawing(s) 4 + 4 

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*)

Request for substantive examination (*Patents Form 10/77*)

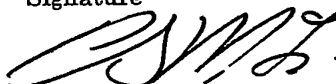
Any other documents  
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11.

I/We request the grant of a patent on the basis of this application

Signature

Date



Craig McLean

28<sup>th</sup> January 2004

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr. Trevor Drew

01403 323069

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### Organic Compounds

The present invention concerns a method for providing a nutritional or pharmaceutical composition comprising a prebiotic to an individual in need thereof.

Dietary interventions for the treatment or prevention of diseases are highly sought after to relieve the burdens on medical service providers. One of the most promising current targets for such dietary interventions is the gastrointestinal tract. The large intestine is, by far, the most densely populated area of the gut. Its resident microflora appears to be associated with control of transit time, bowel habit, absorptive and mucosal function as well as implicated, through its normal metabolic state, in many other physiological processes relevant to host health and disease. Modulation of the composition of this microflora through use of certain food ingredients, offers an attractive basis for developing novel dietary therapies. This has resulted in the development of pro and prebiotic concepts. Both rely upon enhancement of beneficial components of the microflora, such as bifidobacteria and lactobacilli. Whilst the former concept uses a live microbial supplement, the latter is defined as a nondigestible food ingredient which selectively stimulates growth and/or activity of these beneficial groups of bacteria.

Health claims attributed to the consumption of probiotics have been reported for a long time. However, one of the main problems of this approach is survival of the probiotic in the complex gut ecosystem. Following ingestion, strains are confronted by factors such as gastric acids, bile salts and various enzymes as well as the ability to establish in the large intestine and compete with a diverse and metabolically active indigenous microbiota. An alternative to the survivability problems lies in the use of prebiotics and it may be assumed that these will be used as predominant ingredients in future developments of nutritional approaches for the treatment or prevention of diseases and disorders concerning the gastrointestinal tract.

Prebiotics are non-digestible food ingredients, e.g. non-digestible carbohydrates, which have a beneficial effect on the health. For a food ingredient to be classified as a prebiotic it must fulfill the following criteria: i) neither be hydrolyzed nor absorbed in the gastrointestinal tract, ii) be selectively fermented by one or a limited number of potentially beneficial bacteria commensal to the colon, such as lactobacilli and bifidobacteria, which are stimulated to grow

and/or become metabolically activated, iii) be able to alter the colonic microflora towards a healthier composition, by increasing, for example, numbers of saccharolytic species while reducing putrefactive microorganisms. The fermentation of the prebiotic by the colonic bacteria may lead to the production of short chain fatty acid (SCFA), such as succinic, lactic, formic, acetic, propionic, isobutyric, butyric, isovaleric and valeric acids, hydrogen and carbon dioxide gases.

In recent years, there is on the part of the consumers an increasing demand for foodstuffs particular there is an interest in developing functional foods having prebiotic capability. *In vivo* human studies have shown that dietary addition of particular oligosaccharides, such as fructooligosaccharide (FOS), may lead to an increase in beneficial faecal bifidobacteria. Nevertheless, there is no uniform method to determine the effectiveness of different fibers to act as prebiotics and to compare their prebiotic capabilities.

There is a need to develop improved nutritional compositions containing prebiotics. To do so, a method that will allow quantification and/or comparison of the prebiotic capabilities of different fibers would be particularly useful.

In one aspect of the invention there is provided a comparative and standardized method for assessing the prebiotic capability of a dietary fiber, e.g. a carbohydrate, e.g. an oligosaccharide, and/or a comparative and standardized method for quantifying the effectiveness of a prebiotic, in particular through its effect on faecal bacteria.

In one embodiment of the invention, the method for assessing the prebiotic capability of a dietary fiber is a subtractive culture method.

As used herein, a "subtractive culture method" refers to a method which may include at least the following steps: 1)- incubating a faecal bacterial culture in parallel in the presence and in the absence of the tested fiber during a certain incubation period, e.g. until the substrate or the tested fiber is completely fermented; 2)- determining the amount, e.g. number, of the faecal bacteria in the culture, in the presence and in the absence of the tested fiber, in particular the amount, e.g. number, of beneficial or potentially beneficial faecal bacteria in the presence and in the absence of the tested fiber and the amount, e.g. number, of non beneficial faecal bacteria in the same conditions; and 3)- comparing the amount, e.g.

number, of faecal bacteria in the culture in the presence and in the absence of the tested fiber, in particular the amount, e.g. number, of beneficial or potentially beneficial faecal bacteria versus non beneficial faecal bacteria.

The subtractive culture method of the invention may allow the evaluation or quantification of the effect of the tested fiber on the growth of faecal bacteria, in particular of beneficial or potentially beneficial faecal bacteria. Such an evaluation or quantification may be made by subtracting the amount, e.g. number, of the faecal bacteria, in particular beneficial or potentially beneficial faecal bacteria, in the culture in the absence of the tested fiber from the amount, e.g. number, of the faecal bacteria, in particular beneficial or potentially beneficial faecal bacteria, in the culture in the presence of the tested fiber. The value obtained with such a calculation may be reflective of the prebiotic capability of the tested fiber.

In another embodiment of the invention, the subtractive culture method of the invention may allow the evaluation or quantification of the effect of the tested fiber on the modification of the faecal bacteria population, in particular towards a healthier composition.

The step 3) of the subtractive culture method according to the invention may include the following sub-steps: 3.1) subtracting the amount, e.g. number, of the beneficial or potentially beneficial faecal bacteria in the culture in the absence of the tested fiber from the amount, e.g. number, of the beneficial or potentially beneficial faecal bacteria in the culture in the presence of the tested fiber; 3.2) subtracting the amount, e.g. number, of the non beneficial faecal bacteria in the culture in the absence of the tested fiber from the amount, e.g. number, of the non beneficial faecal bacteria in the culture in the presence of the tested fiber, and 3.3) subtracting the value as determined in step 3.2) from the value as determined in step 3.1) to get a value which may be reflective of the prebiotic capability of the tested fiber.

In another embodiment of the invention, the subtractive culture method according to the invention may include, e.g. may consist in, calculating the growth rate, e.g. maximum growth rate, in culture containing the tested fiber of i) the beneficial or potentially beneficial faecal bacteria and ii) the non beneficial faecal bacteria, and subtracting the value obtained for the non beneficial faecal bacteria from the value obtained for the beneficial or potentially beneficial faecal bacteria. The total growth rate thus obtained can be compared to the similar

total growth rate obtained for the same bacteria in a culture without the tested fiber, e.g. with sucrose.

In yet another aspect of the invention there is provided a method for designing a prebiotic containing composition effective in controlling, e.g. treating, preventing or ameliorating diseases of the gastrointestinal tract, such as chronic gut disorder, irritable bowel syndrome (IBS), inflammatory bowel disease (IBD), Crohn's disease, ulcerative colitis, colon cancer and associated disorders.

In another aspect of the invention there is provided a method for designing a prebiotic containing composition effective in stimulating the growth of endogenous bifidobacteria, lactobacilli and/or Eubacteria, and/or inhibiting the growth of Bacteroides, Clostridia, Coliforms and/or Sulfate reducing Bacteria.

In yet another aspect of the invention there is provided a method for designing a nutritional or pharmaceutical composition comprising prebiotics, which method comprises

- (a) evaluation, e.g. quantification of the prebiotic capability of a fiber, and/or identification of a prebiotic, by a subtractive culture method, and
- (b) formulation of a nutritional or pharmaceutical composition comprising the fiber, e.g. the prebiotic, evaluated and/or identified in step (a) and a nutritionally or pharmaceutically acceptable carrier.

As used herein, the "prebiotic capability of a fiber " refers to the capability of a fiber to act as a prebiotic, e.g. to be fermented by beneficial or potentially beneficial faecal bacteria, such as lactobacilli and bifidobacteria, and/or to be able to alter the faecal bacterial population towards a healthier composition, e.g. in stimulating the growth and/or the metabolism of beneficial or potentially beneficial faecal bacteria and/or in inhibiting the growth and/or the metabolism of non beneficial or pathogenic faecal bacteria. Since faecal bacteria are bacteria originating in the long intestine, the method of the invention may allow determination of prebiotic effect in vivo.

According to the invention there is provided a method for designing a nutritional or pharmaceutical composition comprising at least one prebiotic and delivering said nutritional

or pharmaceutical composition to an individual in need thereof, which method comprises, e.g. consists of,

- (a) evaluation, e.g. quantification of, the prebiotic capability of a fiber, and/or identification of a prebiotic,
- (b) formulation of a nutritional or pharmaceutical composition comprising the prebiotic, e.g. the fiber, evaluated and/or identified in step (a) and a nutritionally or pharmaceutically acceptable carrier, and
- (c) providing the nutritional or pharmaceutical composition obtained in step (b) to an individual in need thereof.

According to the invention, step (a) may comprise the step of quantifying the effect of the tested fiber, or blend of tested fibers, on faecal bacteria, e.g. on faecal bacterial growth and/or on changes in faecal bacterial population, e.g. on the multiplication and/or the metabolism of the faecal bacteria. Accordingly, step (a) may comprise the step of monitoring and/or quantifying the effect of the tested fiber, or blend of tested fibers, in stimulating the multiplication and/or activating the metabolism of beneficial or potentially beneficial faecal bacteria, such as e.g. lactobacilli and/or bifidobacteria, and/or in inhibiting the multiplication and/or the metabolism of non beneficial and/or detrimental faecal bacteria, such as e.g. saccharolytic and/or putrefactive species. Preferably, such a monitoring and/or quantifying analysis may comprise the calculation of the growth rates, e.g. the maximum growth rates, of faecal bacteria, e.g. of beneficial or potentially beneficial faecal bacteria and of non beneficial faecal bacteria.

According to the invention, such a monitoring and/or quantifying analysis can be done e.g. in anaerobic batch cultures containing the tested fiber, or blend of tested fibers, e.g. as sole carbon source, and inoculated by faecal bacteria, for instance in the form of faecal samples. The faecal samples may be obtained from a healthy human and/or a human who did not receive any antibiotic treatment for at least 6 months. Sucrose may be used as a control substrate as it is not selective, i.e. may be fermented by all bacteria. As a negative control culture medium without any substrate, e.g. without any fiber or any sugar, may be used.

In one aspect of the invention, step (a) may comprise a comparative and standardized method, e.g. a calculation method.



In one aspect of the invention, step (a) may comprise the step of comparing the effect of the tested fiber, or blend of tested fibers, on faecal bacteria to the effect of another fiber, e.g. a known prebiotic, e.g. FOS, to the same faecal bacteria in the same conditions.

In another aspect of the invention, step (a) may comprise a process for determining the "prebiotic index" (PI) of a fiber. The basic concept of PI is to derive a single number that quantifies the effect of the fiber on the bacterial, e.g. the faecal bacterial population, e.g. on the amount of beneficial faecal bacteria versus non beneficial faecal bacteria.

The prebiotic index may be calculated by i) summing increases of amounts, e.g.  $\log_{10}$  populations, of beneficial and potentially beneficial faecal bacteria, e.g. bifidobacteria, lactobacilli and/or Eubacteria and ii) adding the decrease or subtracting the increase to the numerical value obtained for the amounts, e.g.  $\log_{10}$  populations, of non beneficial faecal bacteria, e.g. clostridia, bacteroides, coliforms and/or Sulfate Reducing bacteria, as determined e.g. in anaerobic fermentation culture, e.g. in a batch culture and/or a fermentation vessel.

The following equation may be used to describe the prebiotic index:

$$PI = \Delta B + \Delta L + \Delta E - \Delta Ba - \Delta Cl - \Delta Co - \Delta SRB$$

wherein PI = prebiotic index;  $\Delta$  = amount of bacteria, e.g.  $\log_{10}$  bacterial populations, in presence of the fiber minus the amount of bacteria, e.g.  $\log_{10}$  bacterial populations, in absence of the fiber; B = bifidobacteria, e.g. *Bifidobacterium* spp; L = lactobacilli, e.g. *Lactobacillus* spp; E = Eubacteria, e.g. *Eubacterium rectale*; Ba = bacteroides, e.g. *Bacteroides* spp; Cl = clostridia, e.g. histolyticum group, e.g. *Clostridium* coccoides, *Clostridium* histolyticum; Co = coliforms, e.g. *Escherichia coli* and SRB = Sulfate Reducing bacteria, e.g. *Desulfovibrio* spp.

In another embodiment of the invention, the prebiotic index may be described by the following equation:

$$PI = B/Total + L/Total + E/Total - Ba/Total - Cl/Total - Co/Total - SRB/Total$$

wherein "B", "L", "E", "Ba", "Cl", "Co" and "SRB" have the same meaning as above, and "Total" represents the total number of faecal bacteria at inoculation.

In another embodiment of the invention, the prebiotic index of a fiber may be described through the growth rate, e.g. maximum growth rate, of the faecal bacteria, e.g. of the predominant beneficial faecal bacteria, versus the growth rate, e.g. maximum growth rate, of non beneficial faecal bacteria, e.g. in anaerobic batch cultures.

The growth rate of a bacteria population can be calculated with the following equation:

$$\ln N_t = \ln N_0 + \mu t$$

wherein

$N$  is the number of bacteria after the time interval,  $t$ , e.g. in hours,

$N_0$  is the initial number of bacteria and

$\mu$  is the specific growth rate, e.g. per hour.

In one aspect of the invention, the analysis of the faecal bacterial population, e.g. the measure of the PI, can be done through the growth rates calculated during the exponential phase of bacterial growth, i.e. the maximum growth rates, i.e.  $\mu_{\max}$ . The following equation may be used:

$$PI = \mu_{\max} B + \mu_{\max} L + \mu_{\max} E - \mu_{\max} Ba - \mu_{\max} Cl - \mu_{\max} Co - \mu_{\max} SRB;$$

wherein PI = prebiotic index;  $\mu_{\max}$  = maximum growth rates of faecal bacteria in presence of the tested fiber, e.g. blend of tested fibers; B, L, E, Ba, Cl, Co, and SRB as hereinabove described.

The prebiotic index may be calculated by i) summing the growth rates, e.g. maximum growth rates, of the beneficial and potentially beneficial faecal bacteria, e.g. of bifidobacteria, lactobacilli and/or Eubacteria; ii) summing the growth rates, e.g. maximum growth rates, of the non beneficial faecal bacteria, e.g. of bacteroides, clostridia, coliforms, and/or Sulfate Reducing bacteria; and iii) subtracting the growth rate obtained under point ii) to the growth rate obtained under point i).

In one embodiment of the invention, a fiber which gives a Prebiotic Index, as hereinabove described, greater than 0, preferably greater than 0.5 or greater than 1 may be considered as a good or suitable prebiotic.

According to the invention, step (a) may comprise the step of quantifying the capacity of the tested fiber, or blend of tested fiber, to be fermented by the faecal bacteria, e.g. by the

beneficial and potentially beneficial faecal bacteria. The capacity of the tested fiber to be fermented may be monitoring or quantifying e.g. through i) the production of fermentation end products, such as short chain fatty acids (SCFA), e.g. lactic acid, propionic acid, acetic acid, and/or butyric acids, and/or ii) the rate of substrate assimilation, e.g. the rate of the fiber breakdown, and/or iii) the fermentation time, i.e. the time necessary for the fiber to be fermented, e.g. completely fermented, e.g. by the beneficial and potentially beneficial faecal bacteria.

As used herein, the term "substrate" refers to any molecule, e.g. carbohydrate, e.g. fiber, which can be assimilated by the faecal bacteria, e.g. when included in a medium culture, e.g. a batch culture or a fermentation vessel.

In one aspect of the invention, step (a) according to the invention may comprise a process for determining the "measure of the prebiotic effect" (MPE). The basic concept of MPE is to derive a single number that quantifies the prebiotic capability of the fiber, e.g. its effect on the faecal bacterial, e.g. on the faecal bacterial growth and/or faecal bacterial population changes, e.g. as determined by the PI, and/or represents its capacity to be fermented by the faecal bacteria, e.g. to induce the production of fermentation end products, such as short chain fatty acids (SCFA). The prebiotic capability of the fiber, e.g. the MPE, may be evaluated, e.g. quantified, by monitoring the production of fermentation end products, such as short chain fatty acids (SCFA). The prebiotic capability of the fiber, e.g. the MPE, may also be evaluated, e.g. quantified, by analyzing, e.g. quantifying the rate of substrate assimilation, e.g. of fiber breakdown. The prebiotic capability of the fiber, e.g. the MPE, may further be evaluated, e.g. quantified, by analyzing the fermentation time of the tested fiber, and/or the relationship between the faecal bacterial population growth and the substrate, e.g. fiber, concentration.

In another aspect of the invention there is provided a method for designing a nutritional or pharmaceutical composition comprising at least one fiber with a prebiotic capability, e.g. at least one prebiotic, and delivering said nutritional composition to an individual in need thereof, which method comprises

(a) determination of the measure of the prebiotic effect (MPE) and optionally comparing the tested fiber to another fiber, e.g. to a prebiotic,

- (b) formulation of a nutritional or pharmaceutical composition comprising the fiber, e.g. the prebiotic, identified in step (a) and a nutritionally or pharmaceutically acceptable carrier, and
- (c) providing the nutritional or pharmaceutical composition obtained in step (b) to an individual in need thereof.

According to the invention the MPE may include, e.g. may be defined by, the Prebiotic Index, as hereinabove described.

In another aspect of the invention, the MPE may include, e.g. may be defined by, the fermentation pattern of the tested fiber, e.g. the measure of the fermentation end products, e.g. short chain fatty acids (SCFA), e.g. when the fecal bacterial population is at the end of the exponential growth phase. The MPE may further include, e.g. may be defined by, the relationship between the production of fermentation end products, e.g. SCFA, and the concentration of substrate, e.g. fiber.

According to the invention, the measure of the fermentation end products may include, e.g. may consist in, calculating the mass, e.g. production, of acetate, propionate, butyrate and lactate produced by the fecal bacteria, e.g. at the end of the exponential growth phase. The following equation may be used:

$$T_{SCFA} = A + B + P + L$$

wherein  $T_{SCFA}$  = production of SCFA; A = amount of acetate produced; B = amount of butyrate produced; P = amount of propionate produced and L = amount of lactate produced.

According to the invention, the measure of the fermentation end products may include, e.g. may consist in, calculating the ratio of lactic acid production, e.g. at the end of the exponential growth phase of the fecal bacteria population, over the total SCFA production, e.g. over the production of acetate, propionate, butyrate and lactate, e.g. as hereinabove described. This ratio may be calculated as follows:

$$\text{Ratio} = dL / dT_{SCFA}$$

wherein  $dL$  = amount of lactic acid at time t minus the amount of lactic acid at time zero, and  $dT_{SCFA}$  = amount of SCFA, e.g. acetate, propionate, butyrate and lactate, at time t minus the amount of SCFA at time zero.

In a further aspect of the invention, the MPE may include, e.g. may be defined by, the measure of the substrate, e.g. fiber, assimilation, e.g. by the measure of the rate of substrate assimilation.

In one aspect of the invention, the measure of the substrate assimilation may be carried out by measuring the substrate concentration over time. The following equation may be used:

$$S_t = S_0 - A_r t$$

wherein  $S_t$  = substrate concentration after the time interval,  $t$ , e.g. in hours;  $S_0$  = initial substrate concentration and  $A_r$  = rate of substrate assimilation, e.g. per hour, e.g. during the exponential phase of bacterial population growth.

In yet a further aspect of the invention, the MPE may include, e.g. may be defined by, the determination of the fermentation time, e.g. the time necessary for the fiber to be fermented, e.g. completely fermented. The MPE may include, e.g. may be defined by, the relationship between the faecal bacterial population growth and the substrate, e.g. fiber, concentration.

In one embodiment of the invention the MPE may be described by the following equation:

$$\frac{1}{2} \sqrt{x^2 y^2 + x^2 z^2 + y^2 z^2} = MPE$$

wherein

$x$  = changes in the bacterial population induced by the tested fiber, e.g. as measured by PI;  
 $y$  = quantification of the fermentation end products production, e.g. SCFA production, e.g. acetate, propionate, butyrate and lactate production, or relationship between fermentation end products production, e.g. SCFA production, and substrate concentration, e.g. fiber concentration;

$z$  = rate of fiber breakdown, e.g. in relation to the bacterial population growth, or relationship between substrate concentration, e.g. fiber concentration and bacterial population growth.

The parameter  $y$  may also be the ratio of lactate production over total SCFA production, e.g. over production of acetate, butyrate, propionate and lactate, as hereinabove described.

The parameter  $z$  may also be the rate of substrate assimilation ( $A_r$ ) as hereinabove described.

According to the method of the invention, it is possible to attribute to the calculated MPE value the sign of the PI as calculated for the same fiber, of fibers blend, in the same conditions, i.e. to attribute a positive sign to the MPE of a fiber for which the PI is positive, e.g. for the MPE calculated for FOS, and reciprocally, a negative sign to the MPE of a fiber for which the PI is negative, e.g. for the MPE calculated for sucrose.

As used herein, "faecal bacteria" refers to indigenous or commensal bacteria of the colon, e.g. predominant bacterial groups present in the faeces, including beneficial and potentially beneficial bacteria, such as Bifidobacteria, Lactobacilli, Eubacteria, and non beneficial, e.g. detrimental, putrefactive or pathogenic bacteria, such as bacteroides, Clostridia, Coliforms, Sulfate Reducing bacteria (SRB). Faecal bacteria may be single bacteria species or may be mixtures of different species. Faecal bacteria may derive from natural sources, such as faecal samples from human, e.g. healthy human and/or human who did not take any antibiotics for at least 6 months. Faecal bacteria may be or not purified.

For the purpose of the invention, the term " fiber" refers to fibers, e.g. dietary fibers, e.g. soluble or insoluble fibers, e.g. hydrolyzed fibers. In particular the fibers according to the invention are able to undergo fermentation in the colon to produce short chain fatty acids (SCFA), such as e.g. succinic, lactic, formic, acetic, propionic, isobutyric, butyric, isovaleric or valeric acids or hydrogen and carbon dioxide gases.

One example of fibers according to the invention are fructo-oligosaccharides (also called oligofructose) (FOS), oligosaccharides that are members of the inulin subclass of fructans. FOS occur in nature in many kind of plants, including onions, garlic, shallots, wheat, rye, bananas, aspergus, tomatoes, artichokes, dahlia and chicory root. FOS can be produced enzymatically, through chemical techniques or by extraction from natural substances. Short chain FOS are composed of one to three fructose molecules linked to one molecule of sucrose: their polymerization degree (DP) is not higher than 6, and they can be synthesized from sucrose through the use of transfructosylating enzymes. Treatment of sucrose with these transfructosylating enzymes results in a mixture of FOS containing 2, 3 or 4 fructose units, such as 1-kestose, nystose and fructosyl-nystose. *In vivo* human studies have been shown that dietary addition of FOS leads to an increase in faecal bifidobacteria and is a very effective prebiotic.

As used herein the term "FOS" encompasses FOS and short chain FOS. FOS may comprise between 2 and 20 saccharide units, for example between 2 to 15 saccharide units, further example between 2 to 7 saccharide units or between 2 to 6 saccharide units. For example FOS may contain about 95% by weight disaccharides to heptasaccharides, based on the total weight of FOS.

Oligofructose is commercially available, for example as Actilite, RAFTILOSE<sup>®</sup>; from ORAFTI, (Tienen, Belgium), in various grades such as, for example, RAFTILOSE<sup>®</sup>; P95 which contains about 95 % by weight oligofructose, composed of chains with a degree of polymerisation ranging from 2 to about 7, typically with a (DP) of 3.5 to 4.5, and containing about 5 % by weight in total of glucose, fructose and sucrose.

Another example of fibers according to the invention are galacto-oligosaccharides (GOS) , which may comprise di, tri, tetra, penta and hexasaccharides, mainly consist of galactose as a sugar component, and are formed by the action of beta-galactosidase on lactose. GOS may comprise between 2 and 15 saccharide units, for example between 2 to 10 saccharide units, further example between 2 to 7 saccharide units or between 2 to 6 saccharide units. For example GOS may contain about 0 to about 45% of weight disaccharides, further example about 10 to about 40% of weight disaccharides, about 20 to about 35% of weight disaccharides, or about 33% of weight disaccharides, based of the total weight of GOS. For example GOS may contain about 0 to about 50% of weight trisaccharides, further example about 10 to about 45% of weight trisaccharides, about 20 to about 40% of weight trisaccharides, or about 39% of weight trisaccharides, based of the total weight of GOS. For example GOS may contain about 0 to about 50% of weight tetrasaccharides, further example about 5 to about 45% of weight tetrasaccharides, about 10 to about 40% of weight tetrasaccharides, or about 18% of weight tetrasaccharides, based of the total weight of GOS. For example, GOS may contain about 0 to about 30% of weight pentasaccharides, further example about 1 to about 25% of weight pentasaccharides, about 2 to about 10% of weight pentasaccharides, or about 7% of weight pentasaccharides, based of the total weight of GOS.

GOS is commercially available, for example under the trade name Vivinal GOS or Elix'or GOS.

As used herein the term "GOS" encompasses GOS as hereinabove defined and trans Galacto-oligosaccharides, also called tGOS.

Hydrolysed fiber may be derived from numerous known fibers. Preferred hydrolysed fibers include hydrolysed guar gum, e.g. partially hydrolyzed guar gum. The term hydrolysed fibers as used herein refers to fibers hydrolysed in conventional manner, e.g. chemically or enzymatically to fibers having a reduced molecular weight, which hydrolysed products may be tube compatible when administered at the desired daily amount.

An example of hydrolysed guar gum is Benefiber®, e.g. as described in U.S. Patent No.5,260,279, which is hereby incorporated by reference. Prior to hydrolysis, the molecular weight of guar gum is approximately 200,000; after hydrolysis it is 20,000-30,000. The molecular weight range of the hydrolysed guar gum may vary, preferably may be between 24 and 30 kDa.

For the method of the present invention anaerobic culture fermentations, such as batch culture fermentations, may be used to assess and measure e.g. bacterial growth or changes in bacterial population, e.g. through the calculation of the PI; fermentation times; rate of substrate assimilation, e.g. fiber breakdown, e.g. in relation to bacterial growth; production of fermentation end products, e.g. SCFA; relationship between fermentation end products production and substrate concentration; ratio of lactate over total SCFA or over acetate, butyrate, propionate and lactate or MPE as hereinabove described.

Batch culture fermentations may also be used for the method of the invention to determine the minimum and maximum concentration of substrate, e.g. fiber, the prebiotic capability, e.g. the PI, or the MPE, of combination of test substrates, e.g. combinations of test fibers, such as FOS: GOS, e.g. FOS: GOS (50:50), FOS: Benefiber®, e.g. FOS: Benefiber® (90:10), and GOS: Benefiber®, e.g. GOS: Benefiber® (90:10). Sucrose may be used as a control substrate as it is not selective and will therefore be fermented by all bacteria. As a negative control culture medium without any substrate may be used.

Preferably, the batch culture fermentation is carried out in the presence of about 0.5 to about 3% by weight, e.g. about 1 to about 2% by weight, e.g. about 1% by weight, of the tested



fiber, or blend of tested fibers, e.g. 1% by weight FOS, based on the total weight of the batch culture.

As used herein, "batch fermentation culture" refers to anaerobic culture inoculated with faecal slurry and containing the tested fiber, or blend of tested fibers, or sucrose. According to the method of the invention, the nutrient medium of the batch fermentation culture can be gassed with oxygen-free nitrogen, e.g. overnight, before adding any faecal bacteria, and the culture can be maintained in a continuous oxygen-free nitrogen environment, e.g. by being continuously sparged with O<sub>2</sub>-free N<sub>2</sub>, e.g. at a flow rate of 15ml/min.

According to the method of the invention, the faecal sample may be taken from human, e.g. healthy human, e.g. human who had no history of any gastrointestinal diseases, and/or human who did not take any antibiotics for at least 6 months. The faecal sample may be diluted, e.g. at about 1/10, in anaerobic buffer, e.g. anaerobic phosphate buffer, e.g. containing 0.1M phosphate and having a pH 7.4. The faecal sample may be homogenized.

The incubation of the faecal bacterial culture may be carried out in a volume comprised between about 100ml and 500ml, e.g. between about 100 ml and 400 ml, e.g. between 150 and 300ml, e.g. about 150ml. Preferably the incubation volume may be about 150 ml, or about 250ml, or about 300ml, e.g. 270ml.

The culture temperature can be comprised between about 35 and 42°C, preferably can be about 37°C.

The culture pH can be comprised between 6.5 and 7, preferably about 6.8.

The incubation of the faecal bacterial culture may be carried out until the substrate, e.g. the fiber, has been fermented, e.g. completely fermented. The incubation period may be of about 24 hours.

According to the invention, gut model experiments, e.g. three-stage thermostat experiments, may also be used e.g. to assess the persistence of test substrates, e.g. fibers, e.g. combination of fibers, through the colon; to assess the effect of each substrate, e.g. fiber, on bacterial growth and/or SCFA production. The gut model has been validated against gut

contents from sudden death victims and gives a very close analogy to bacterial composition and activities in different areas of the large intestine. With the gut model, a period of fermentation may last for at least one day and up to about 15 days, e.g. between 1 day and 11 days, e.g. between 10 and 11 days.

For the method of the present invention bacterial population growth and changes may be determined by fluorescence *in situ* hybridisation (FISH), a culture independent molecular technique employing 16S rRNA oligonucleotide probes labelled with fluorescent dyes (table1). The FISH method allows the visualization and localization of whole bacterial cells *in situ* in environmental samples. It will be appreciated that such a method is readily known to one skilled in the art.

For the method of the present invention, the production of SCFA may be determined by a technique readily known to one skilled in the art, such as HPLC.

For the method of the present invention, the measure of the total carbohydrate content, e.g. the measure of the substrate concentration, may be determined by phenol-sulphuric acid assay. The assay can be calibrated with e.g. D-glucose standards, e.g. ranging from 0 to 0.15mg/ml. It will be appreciated that such a method is readily known to one skilled in the art.

In one aspect of the invention, there is provided a method for providing a nutritional or pharmaceutical composition containing a suitable prebiotic, e.g. a fiber having a Prebiotic Index, as hereinabove described, superior than 0, e.g. positive, e.g. superior that 0.5, e.g. superior than 1.

According to the invention, nutritional compositions refer to nutritional formulations, typically nutraceuticals, dietary supplements, functional food, beverage products, or food additives. Such nutritional compositions may be nutritionally complete, i.e. may include vitamins, minerals, trace elements as well as nitrogen, carbohydrate and fatty acid sources so that they may be used as the sole source of nutrition supplying essentially all the required daily amounts of vitamins, minerals, carbohydrates, fatty acids, proteins and the like. The nutritional compositions may also be in the form of low calorie formulations, e.g. low calorie meal replacements.

The compositions which can be designed by the method of the invention may be suited for oral or tube feeding.

Suitable product formats for the nutritional compositions include solutions, ready-for-consumption compositions, e.g. ready-to-drink compositions, instant drinks, liquid comestibles, like soft drinks, juices, sports drinks, milk drinks, milk-shakes, yogurt drinks or soups. Such compositions may also be designed in accordance with the method of the present invention in the form of a concentrate, a powder, or granules, e.g. effervescent granules, to be diluted in water or other liquid, such as milk or fruit juice.

Pharmaceutical compositions may be provided in the form of soft gels, sachets, powder, syrups, liquid suspensions, emulsions, solution, hard gelatin capsules or soft, sealed capsules consisting of gelatin and a plasticizer, such as glycerol or sorbitol.

The amount of fiber, e.g. prebiotics, contained in the compositions which are designed by the method of the invention may be determined in the light of various relevant factors including the purpose of administration, the age, sex and body weight of individual subject and the severity of the subject's symptoms.

The compositions which are designed by the method of the invention may also comprise any bioactive compounds or extracts which are known to have health benefits, especially compounds which have a beneficial influence on the gastro-intestinal tract, such as probiotics, glutamine/glutamate or precursors thereof, or that inhibit bacterial adhesion to epithelial wall of the gastrointestinal tract, including mannans, galacturonic acid oligomers, preferably of natural origin.

The invention may be further illustrated by the following Examples:

### **Examples**

Unless otherwise stated, all chemicals and reagents are obtained from Sigma - Aldrich Co. Ltd. (Poole-UK) or BDH Chemicals Ltd. (Poole, UK). Testing substrates are listed in Table 2. Where required, culture pH is adjusted with either 1M HCl or 1M NaOH. Sterilisation is achieved by autoclaving at 121°C for 15 min.

(1) Preparation and collection of faecal samples

Faecal samples are obtained from healthy human volunteers. Volunteers are required not to have been prescribed antibiotics for at least 6 months prior to the study and have no history of gastrointestinal diseases. The samples are collected on site and used immediately following collection. A 1/10 dilution in anaerobic phosphate buffer (0.1M, pH 7.4) is prepared and the samples homogenised in a stomacher for 2 minutes.

**Table 2.** Test substrates and their composition

Type	Commercial name	Composition	Manufacturer
FOS	Actilight 950P®	95% oligosaccharides	Eridania Beighin Meiji, Neuilli sur Seine, France
tGOS	Elix'or®	58% tGOS, 19% glucose, 19% lactose, 0.8% galactose, 3% moisture	Borculo Domo, Netherlands
Partially hydrolysed guar gum (PHGG)	Benefiber®	80% soluble fibre, 12.5% carbohydrate, 5% moisture, 1.5% ash, 1% protein	Taiyo Kagaku Co., Yokkaichi, Japans
Guar gum	Guar gum		Sigma-Aldrich Co. Ltd., Poole,UK

(2) Example 1: Batch Fermentations

Sterile, stirred, batch culture fermentation vessels (300ml volume) are filled with 135ml basal nutrient medium (peptone water 2g/l, yeast extract 2g/l, NaCl 0.1g/l, K<sub>2</sub>HPO<sub>4</sub> 0.04g/l, KH<sub>2</sub>PO<sub>4</sub> 0.04g/l, MgSO<sub>4</sub>·7H<sub>2</sub>O 0.01g/l, CaCl<sub>2</sub>·6H<sub>2</sub>O 0.01, NaHCO<sub>3</sub> 2g/l, Tween 80 2ml, Hemin 0.02g/l, Vitamin K<sub>1</sub> 10µl, Cysteine.HCl 0.5g/l, Bile salts (sodium glycocholate and sodium taurocholate) 0.5g/l, pH7.0) and gassed overnight with oxygen free nitrogen. Prior to addition of the faecal slurry, 0.25%, 0.5% or 1% (w/v) testing substrates are added to different

vessels, culture temperature is set at 37°C by means of a circulating water bath and medium pH is maintained at 6.8 using an Electrolab pH controller. The vessels are inoculated with 15ml of fresh faecal slurry (1/10w/v) and continuously sparged with O<sub>2</sub>-free N<sub>2</sub> at a flow rate of 15ml/min. Samples (3ml) from each vessel are obtained for fluorescence *in situ* hybridisation (FISH), analysis of SCFA by high performance liquid chromatography (HPLC) and total carbohydrate measurement by assay. Batch cultures are run over a period of 24 hours.

### 2.1. Bacterial enumeration: Fluorescence *in situ* hybridisation (FISH)

Differences in bacterial populations are assessed through use of FISH with oligonucleotide probes designed to target diagnostic regions of 16S rRNA. These are commercially synthesised and labelled with the fluorescent dye Cy3 (provided by Eurogentec UK Ltd). The molecular probes utilised are presented in Table 1. For total bacterial counts the nucleic acid stain 4,6-diamidino-2-phenylindole (DAPI) is used. Samples obtained from fermentation vessels are diluted in 4% (w/v) paraformaldehyde and fixed overnight at 4°C. The cells are then centrifuged at 1500 x g for 5 minutes, washed twice with phosphate-buffered saline (PBS; 0.1M, pH 7.0), resuspended in a mixture of PBS / 99% ethanol (1:1 w/v) and stored at -20°C for at least 1 hour. For Lab 158 probe samples are further resuspended in the enzyme mixture containing agents that increase cell permeability and incubated for 1 hour at 37°C. The cells are then washed in PBS, resuspended in 100% methanol and stored at -20°C for at least 1 hour. The cell suspension is then added to the hybridisation mixture and left overnight to hybridise at the appropriate temperature for each probe. Hybridized mixture is vacuum filtered using a 0.2µm Isopore membrane filter (Millipore Corporation, Herts, UK). The filter is removed, placed onto a glass slide with SlowFade (Molecular Probes, Egan, OR, USA) and examined under a fluorescent microscope (Nicon Eclipse, E400). The DAPI stained cells are examined under UV light and hybridised cells viewed using a DM510 filter. Faecal sample are incubated with 1% (w/v) of each substrate. Samples are taken after 24 hours. For each slide at least 15 different fields of view are counted. Microbial counts are presented as log<sub>10</sub>cells/ml.

#### 2.1.1. PI

PI is calculated with the following equation:

$$PI = \Delta B + \Delta L + \Delta E - \Delta Ba - \Delta Cl - \Delta Co - \Delta SRB$$

wherein  $\Delta$  = amount of bacteria in presence of the tested fiber minus the amount of bacteria in absence of the tested fiber; B = *Bifidobacterium* spp; L = lactobacilli; E = *Eubacterium rectale*; Ba = *Bacteroides* spp; Cl = *Clostridium coccoides* and *Clostridium histolyticum*; Co = *Escherichia coli* and SRB = *Desulfovibrio* spp.

#### Results:

Bacteria	Sucrose	FOS	$\Delta$ FOS	tGOS	$\Delta$ tGOS	Benefiber <sup>®</sup>	$\Delta$ Benefiber <sup>®</sup>
Bifidobacteria	8.0	8.9	0.9	8.7	0.7	8.4	0.4
Bacteroides	8.1	8.2	0.1	7.8	-0.3	9.0	0.9
Lactobacilli	6.7	7.0	0.3	7.0	0.3	6.8	0.1
Clostridia	7.6	7.3	- 0.3	6.9	- 0.7	6.8	-0.8
E.Coli	7.7	7.8	0.1	6.9	-0.8	7.5	-0.2
Eubacteria	7.7	8.0	0.3	7.7	0	8.2	0.5
Desulfovibrio	6.7	6.9	0.2	7.2	0.5	6.9	0.2
PI			1.4		2.3		0.9

tGOS shows the highest growth rate of *Bifidobacterium* spp. at the expense of *Bacteroides* spp. and *Eubacterium rectale*/*Clostridium coccoides* group, as well as the smallest increase in *E. coli*. FOS also results in a high growth rate of bifidobacteria, however, high growth rates of *Clostridium histolyticum* group and *E. coli* are also observed. Benefiber<sup>®</sup> results in a high growth rate of *Bacteroides* spp. and *E.coli* with a positive effect on bifidobacteria when compared to sucrose.

#### 2.1.2. PI

PI is calculated with the following equation:

$$PI = \mu_{\max} B + \mu_{\max} L + \mu_{\max} E - \mu_{\max} Ba - \mu_{\max} Cl - \mu_{\max} Co - \mu_{\max} SRB;$$

wherein  $\mu_{\max}$  = maximum growth rates of bacteria, B = *Bifidobacterium* spp; L = lactobacilli; E = *Eubacterium rectale*; Ba = *Bacteroides* spp; Cl = *Clostridium coccoides* and *Clostridium histolyticum*; Co = *Escherichia coli* and SRB = *Desulfovibrio* spp.

## Results:

	$\mu_{\max}$ (h <sup>-1</sup> )	
	Sucrose	FOS
<i>Bifidobacterium</i> spp.	0.13	0.35
<i>Bacteroides</i> spp.	0.11	-0.02
<i>Lactobacillus</i> spp.	0.03	0.06
<i>Clostridium histolyticum</i> group	0.22	0.03
E. coli	0.27	0.13
<i>Eubacterium rectale/Clostridium coccoides</i> group	-0.21	-0.02
<i>Desulfovibrio</i> spp.	0.24	0.10
PI	-0.89	0.15

## 2.2 Analysis of SCFA production

The production of succinic, lactic, formic, acetic, propionic, isobutyric, butyric, isovaleric and valeric acids in the fermentations is quantified. Samples are centrifuged at 1500 x g for 15 minutes and the resultant supernatant used for injection. A Model 1050 UV HPLC (Hewlett Packard), with an integrated oven compartment (50°C) and data system is used in combination with a differential refractometer (Knauer). Sample injection is performed using an autosampler and is of 20 $\mu$ l volume. The column is a pre-packed Aminex HPX-87-H strong cation-exchange resin column (150 x 7.8 mm I.D.), fitted with an ion exclusion micro-guard refill cartridge (Bio-Rad Labs., USA). The eluent used is 0.005 M sulphuric acid. Faecal samples are incubated with 135ml basal nutrient medium containing 1% (w/v) sucrose, PHGG, FOS or tGOS in batch culture systems at 37° C. Samples are taken every 2 hours up to 10 hours and then at 15 and 24 hours. Data are not shown.

The ratio of lactate over the total SCFA production is then calculated, at the end of exponential phases (10 hours in the case of FOS and 8 hours in the case of sucrose).

**Results:** In the case of sucrose, FOS and tGOS SCFA production is either diminished or constant after 10 hours, whereas Benefiber results in SCFA production up to 24 hours. SCFA profiles are very different between different substrates. Sucrose fermentation results in the production of acetate and very little or no other acids. Acetic and lactic acids are produced with both FOS and tGOS fermentation. FOS fermentation shows very little or no propionate and butyrate production. The main product of Benefiber fermentation is acetic acid followed by propionic and butyric acids. There is very little or no lactate production with Benefiber fermentations.

Sucrose fermentation results in low lactate production and the calculated ratio is 0.12. FOS results in ratio of 0.45, showing that FOS better supports the growth of lactic acid producing bacteria.

### 2.3. Measurement of total sugar by total carbohydrate assay.

A phenol-sulphuric acid assay is used for the determination of total carbohydrate content as expressed in glucose equivalents. The assay is calibrated with D-glucose standards ranging from 0 to 0.15 mgml<sup>-1</sup>. Measurements of the absorbance at 450nm are taken using the spectrophotometer and plotted against the standards. The total carbohydrate content is thus calculated.

Faecal samples are incubated with 0% (w/v), 0.25% (w/v), 0.5% (w/v) and 1% (w/v) of substrate and 135ml basal nutrient medium in batch culture systems at 37° C. Substrate is a) sucrose, b) PHGG, c) FOS and d) tGOS. Samples are taken every 2 hours up to 10 hours and then at 15 and 24 hours (Figure 1a, 1b, 1c and 1d).

The rate of assimilation defined by the following equation is calculated for FOS and sucrose:

$$S_t = S_0 - A_r t$$

wherein  $S_t$  = substrate concentration after the time interval  $t$  in hours (calculated every two hours, between 2 and 8 hours for sucrose, PHGG and FOS and between 4 and 8 hours for GOS),  $S_0$  = 1 % by weight, based on the total weight of the culture, and  $A_r$  = rate of substrate assimilation per hour during the exponential phase of bacterial growth.



Results: The measure of the concentration of total carbohydrate present in each fermentation vessel shows fast fermentation times for sucrose, FOS and tGOS. In all three cases, the substrates are completely utilised by 8 hours regardless of concentration. Although, a fast substrate breakdown up to 8 hours is observed in the case of PHGG some substrate can still be detected in the fermentation vessels up to 24 hours (Figure 1c), suggesting a slower fermentation.

The  $A_r$  values are similar for sucrose and FOS, i.e.  $A_r = 1.40$ , indicating that the two tested substrates have similar fermentation times.

#### 2.4. MPE values

The three values (PI,  $A_r$  and ratio) obtained for sucrose and FOS are used to calculate the MPE value using the following equation:

$$\frac{1}{2} \sqrt{x^2 y^2 + x^2 z^2 + y^2 z^2} = MPE$$

wherein  $x = PI$ ;  $y = \text{ratio}$  and  $z = A_r$ .

Ratio is calculated at the time point where maximum production of lactic acid occurs, i.e. about 8 hours for sucrose and GOS and about 10 hours for FOS.

Positive or negative value of the MPE is determined by the PI value.

	$A_r$	PI	Ratio	MPE
sucrose	1.40	-0.89	0.12	<b>-0.63</b>
FOS	1.40	0.15	0.45	<b>0.33</b>

#### (3) Example 2: *In vitro* gut model

The conditions in the colon are replicated in a three stage continuous fermenter (Macfarlane *et al.*, 1998) inoculated with 10% (w/v) faecal homogenate from healthy human volunteers in a growth medium without and with 1% (w/v) testing substrate. The model consists of three vessels, V1, V2 and V3, with respective operating volumes of 270, 300 and 300 ml. Temperature is set at 37°C and together with pH is controlled automatically. Culture pH in the three vessels is maintained at 5.5, 6.2 and 6.8, respectively. Each fermenter is magnetically stirred and kept under anaerobic conditions by continuously sparging with O<sub>2</sub>-free N<sub>2</sub>.

(15ml/min). The growth medium contains the following ingredients: starch 8g/l, mucin 4g/l, casein 3g/l, peptone water 5g/l, tryptone water 5g/l, bile N°3 0.4g/l, yeast, 4.5 g/l, FeSO<sub>4</sub> 0.005g/l, NaCl 4.5g/l, KCl 4.5g/l, KH<sub>2</sub>PO<sub>4</sub> 0.5g/l, MgSO<sub>4</sub>·7H<sub>2</sub>O 1.25g/l, CaCl<sub>2</sub>·6H<sub>2</sub>O 0.15g/l, NaHCO<sub>3</sub> 1.5g/l, Tween 80 1ml, Hemin 0.05g/l, Cysteine.HCl 0.8g/l. The medium is fed to V1 by a peristaltic pump and V1 sequentially supplies V2 and V3 through a series of tubes. The system is operated at a retention time of about 36 hours. The gut model is left overnight to equilibrate before the medium pump is switched on and is run for 10.5 days before medium containing testing substrate is introduced and it is then left for further 10.5 days. Samples are taken at the beginning and the end of each cycle. The sample volume removed is 5 ml and this amount is used for SCFA analyses, FISH and total carbohydrate measurement.

### 3.1. Fluorescence *in situ* hybridisation (FISH)

Faecal sample are incubated with 1% (w/v) of each substrate. Samples are taken after 21 days. For each slide at least 15 different fields of view are counted. Microbial counts are presented as log<sub>10</sub>cells/ml.

PI is calculated with the following equation:

$$PI = \Delta B + \Delta L + \Delta E - \Delta Ba - \Delta Cl - \Delta Co - \Delta SRB$$

Wherein  $\Delta$  = amount of bacteria in presence of the tested fiber minus the amount of bacteria in absence of the tested fiber; B = bifidobacteria; L = lactobacilli; E = Eubacteria; Ba = Bacteroides; Cl = Clostridia; Co = E coli and SRB = Desulfovibrio.

Total PI is calculated by summing PI for each vessel. The base line for these values is gut model medium.

	Gut model medium			FOS			tGOS			PHGG		
	V1	V2	V3	V1	V2	V3	V1	V2	V3	V1	V2	V3
Bacteria												
Bifidobacteria	8.1	8.0	8.0	8.4	8.3	8.1	8.3	8.3	8.3	8.0	8.0	8.2
Bacteroides	8.0	8.2	8.1	7.7	7.7	7.8	7.5	7.5	7.5	8.0	8.7	8.7

Lactobacilli	7.1	7.0	6.9	7.1	7.1	7.0	7.1	7.1	6.9	7.1	7.1	7.0
Clostridia	6.8	6.8	6.9	6.8	6.8	6.8	7.1	7.1	7.0	7.2	6.9	6.6
E. Coli	6.9	6.9	7.1	7.2	7.0	7.1	7.2	7.1	7.2	7.0	7.2	7.2
Eubacteria	7.7	7.9	8.0	7.5	7.6	7.4	7.5	7.5	7.5	7.8	7.4	8.3
Desulfovibrio	7.2	7.2	7.3	7.0	7.2	7.2	6.7	6.9	6.9	7.6	7.7	7.8

PI	FOS	GOS	PHGG
V1	0.4	1.6	-0.9
V2	0.5	1.0	-1.6
V3	1.0	0	-0.3
Total PI	1.9	2.6	-2.8

**Results:** From both batch cultures and gut models, GOS seems to have the best effect. GOS and FOS have a similar PI in both batch and gut models.

### 3.2. Analysis of SCFA

The same protocol is used as for batch fermentation. The SCFA profiles measured for gut models containing Benefiber, FOS and GOS as substrates are presented in Figures 2, 3 and 4, respectively.

**Results:** In general, lactic acid production is low throughout all vessels and with all substrates assessed. The only increase is observed with the addition of FOS in the first vessel (Figure 3a). Similarly, acetic acid production is increased only in the first vessel in the gut model containing FOS (Figure 3a) and decreased in the first vessel containing Benefiber (Figure 2a), whilst the rest shows no change. The addition of FOS and tGOS into the medium results in no observed effect upon production of propionic acid in any of the vessels, whilst the

addition of Benefiber results in increased production of propionic acid in all three vessels. Butyric acid production is increased with the addition of tGOS and Benefiber in all three vessels. The addition of FOS has no effect on the production of butyric acid in any vessel. Generally, the SCFA profiles demonstrates that both tGOS and Benefiber in particular, have an effect in all three vessels whilst FOS has the most pronounced effect on the first vessel and very little effect upon the second and third vessels.

**Table 1.** Oligonucleotide probes used for the characterisation of gut microflora using FISH

Probe	Sequence	Target genus	Temperature
Bac 303	5'-CCAATGTGGGGGACCTT-3'	<i>Bacteroides</i> spp.	45°C
Bif 164	5'-CATCCGGCATTACCACCC-3'	<i>Bifidobacterium</i> spp.	50°C
Erec 482	5'-CGGUACCUGACUAAGAAGC-3'	<i>Clostridium coccoides-</i> <i>Eubacterium rectale</i> group	50°C
Chis 150	5'-AAAGGAAGAUUAAUACCGCAUA-3'	<i>Clostridium histolyticum</i> group	50°C
Ec 1531	5'-CACCGTAGTGCCTCGTCATCA-3'	<i>E.coli</i>	37°C
Lab 158	5'-GGTATTAGCA(T/C)CTGTTTCCA-3'	<i>Lactobacillus/Enterococ</i> <i>cus</i> spp.	45°C
Srb 687	5' – TACGGATTTCACTCCT-3'	<i>Desulfovibrio</i> spp.	48°C

Claims

1. A method for evaluating the prebiotic capability of a fiber, which comprises a method for evaluating or quantifying the stimulation by the tested fiber on the growth of a faecal bacterial population and/or on the modification of the faecal bacterial population.
2. The method according to claim 1 wherein the evaluation or quantification of the stimulation by the tested fiber of the growth of a faecal bacterial population comprises
  - (a) incubating a faecal bacterial culture in the presence of the tested fiber,
  - (b) determining the maximum growth rate of the beneficial faecal bacteria population
  - (c) evaluating the prebiotic capability of the tested fiber as a function of the value as determined in step (b).
3. A method for evaluating the prebiotic capability of a fiber or for identifying a prebiotic substance, which comprises
  - (a) incubating a faecal bacterial culture in the presence of the tested fiber,
  - (b) determining the total maximum growth rate of the beneficial faecal bacteria populations, and the total maximum growth rate of the non beneficial faecal bacteria populations,
  - (c) subtracting the total maximum growth rate of the non beneficial faecal bacteria populations from the total maximum growth rate of the beneficial faecal bacteria populations to produce a Prebiotic Index,
  - (d) evaluating the prebiotic capability of the tested fiber as a function of the Prebiotic Index.
4. The method according to claim 3 wherein the identification and quantification of the Prebiotic Index (PI) is defined by the following equation:
$$PI = \mu_{\max} B + \mu_{\max} L + \mu_{\max} E - \mu_{\max} Ba - \mu_{\max} Cl - \mu_{\max} Co - \mu_{\max} SRB;$$
wherein PI = prebiotic index;  $\mu_{\max}$  = maximum growth rates of faecal bacteria in presence of the tested fiber; B = bifidobacteria, L = lactobacilli, E = Eubacteria, Ba = bacteroides, Cl = clostridia, Co = coliforms, and SRB = Sulfate Reducing bacteria.
5. The method according to claim 1 wherein the evaluation or quantification of the stimulation by the tested fiber of the growth of a faecal bacterial population comprises

- (a) incubating a faecal bacterial culture in parallel in the presence and in the absence of the tested fiber,
- (b) after a certain incubation period, for instance 24 hours, determining the amount of the faecal bacteria in the culture in the presence and in the absence of the tested fiber,
- (c) subtracting the amount of the faecal bacteria in the absence of the tested fiber from the amount of the faecal bacteria in the presence of the tested fiber,
- (d) evaluating the prebiotic capability of the tested fiber as a function of the value as determined in step (c).

6. A method for evaluating the prebiotic capability of a fiber or for identifying a prebiotic substance, which comprises

- (a) incubating the same faecal bacterial culture in the presence and in the absence of the tested fiber,
- (b) determining the amount of the beneficial faecal bacteria in the presence and in the absence of the tested fiber,
- (c) subtracting the amount of the beneficial faecal bacteria in the absence of the tested fiber from the amount of the beneficial faecal bacteria in the presence of the tested fiber,
- (d) determining the amount of the non beneficial faecal bacteria in presence and in the absence of the tested fiber,
- (e) subtracting the amount of the non beneficial faecal bacteria in the absence of the tested fiber from the amount of the non beneficial faecal bacteria in the presence of the tested fiber,
- (f) subtracting the value as determined in step (e) from the value as determined in step (c) to produce a Prebiotic Index,
- (g) evaluating the prebiotic capability of the tested fiber as a function of the Prebiotic Index.

7. The method according to any one of claims 3 to 5 wherein the beneficial faecal bacteria is at least one of bifidobacteria, lactobacilli and Eubacteria.

8. The method according to any one of claims 3 to 7 wherein the non beneficial faecal bacteria is at least one of bacteroides, clostridia, coliforms, and Sulfate Reducing bacteria.

9. The method according to any preceding claim which comprises a step of quantifying at least one fermentation end product.
10. The method according to claim 9 wherein the fermentation end products are short chain fatty acids (SCFA) and the quantification of the fermentation end products is calculated through the ratio of lactic acid production over the total SCFA production.
11. The method according to claim 9 wherein the quantification of the fermentation end products is calculated through the ratio of lactic acid production over the production of acetate, butyrate, propionate and lactate.
12. The method according to any preceding claim which comprises a step of quantifying the rate of substrate assimilation ~~fiber breakdown~~.
13. The method according to claim 12 wherein the maximum rate of substrate assimilation is calculated.
14. The method according to any one of claims 2 to 8 wherein the evaluation of the prebiotic capability of the tested fiber is a function of the Measure of the Prebiotic Effect (MPE), and the MPE is defined using the following equation:
- $$\frac{1}{2} \sqrt{x^2 y^2 + x^2 z^2 + y^2 z^2} = MPE$$
- wherein x = modification in the faecal bacterial population; y = quantification of at least one fermentation end product; z = rate of substrate assimilation ~~fiber breakdown~~.
15. A method according to any preceding claim wherein the faecal bacteria population is analyzed by fluorescence *in situ* hybridization.
16. A method according to any preceding claim wherein the tested fiber is FOS and/or GOS.



17. A method according to any preceding claim which comprises a step of comparing the prebiotic capability of the tested fiber to the effect of a known prebiotic tested in the same conditions.

18. A method for designing a nutritional or pharmaceutical composition containing a prebiotic, which method comprises the steps of

- (a) evaluating, and optionally quantifying, the prebiotic capability of a fiber with the method of any one of claims 1 to 15,
- (b) selecting at least one fiber having a good prebiotic capability, e.g. comparable to the prebiotic activity of a known prebiotic, and
- (c) formulating a nutritional or pharmaceutical composition comprising the prebiotic selected in step (b) and a nutritionally or pharmaceutically acceptable carrier.

19. A system for designing a nutritional or pharmaceutical composition containing a prebiotic and delivering said nutritional or pharmaceutical composition to an individual in need thereof, which system comprises

- (a) means for quantifying the effect of a fiber on faecal bacteria and identification of a prebiotic,
- (b) means for formulating of a nutritional or pharmaceutical composition comprising the prebiotic identified in step (a) and a nutritionally or pharmaceutically acceptable carrier, and
- (c) means for providing the nutritional or pharmaceutical composition obtained in step (b) to an individual in need thereof.

20. A system according to claim 19 wherein the means (a) are based on the method of any one of claims 1 to 15.

Abstract

The present invention concerns a method for providing a nutritional and pharmaceutical composition comprising a prebiotic to an individual in need thereof.

Figure 1/4:

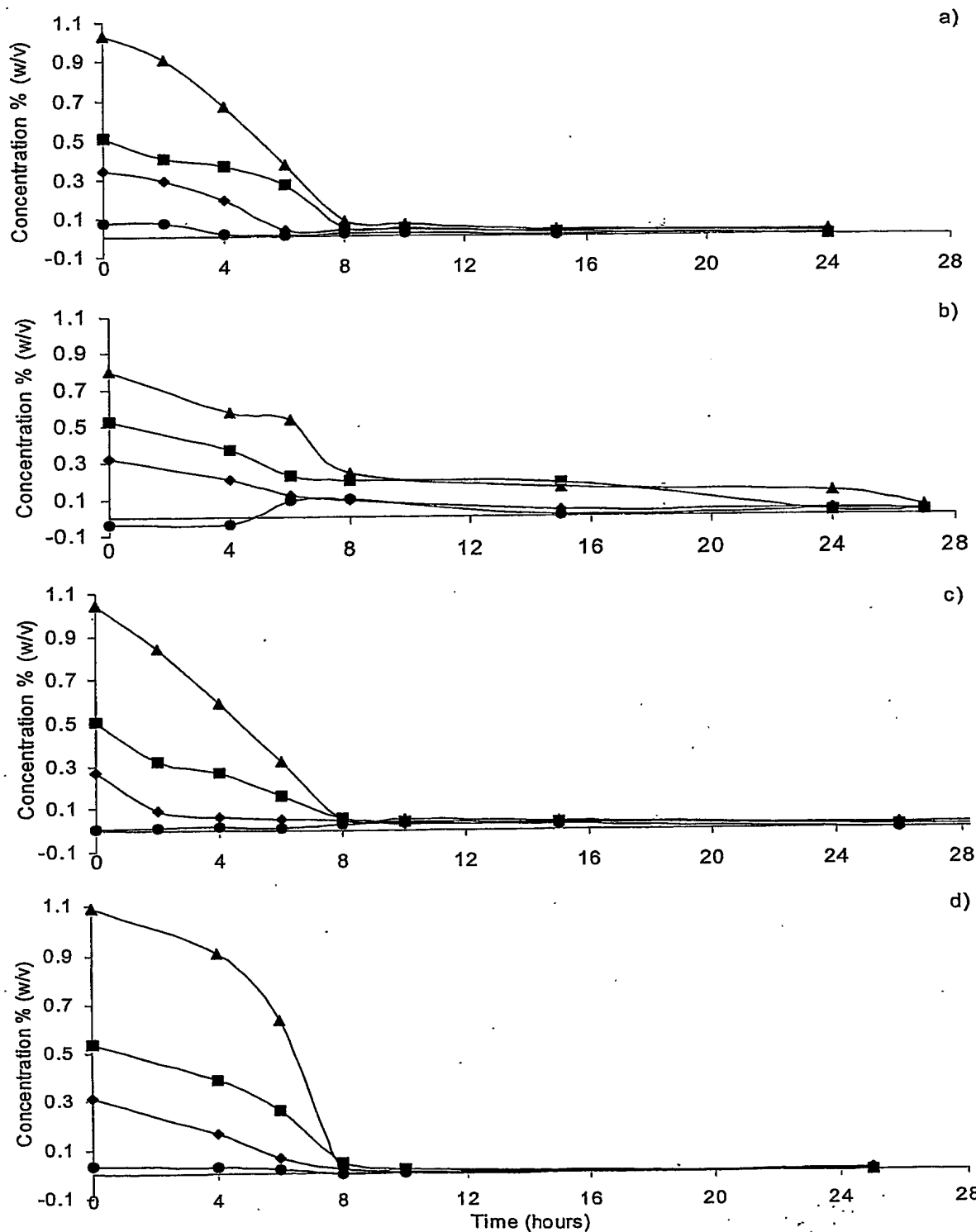


Figure 2/4:

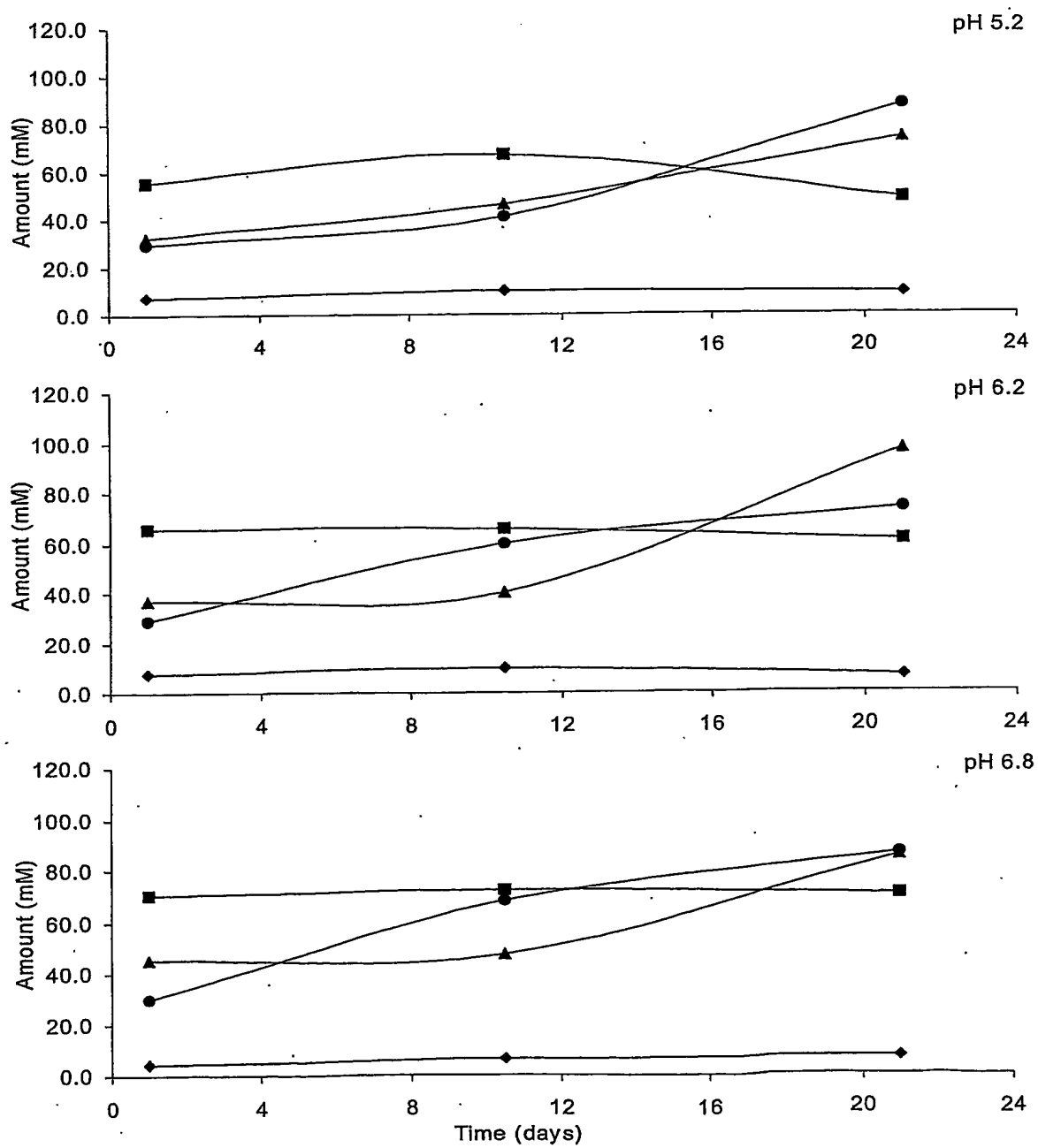


Figure 3/4:

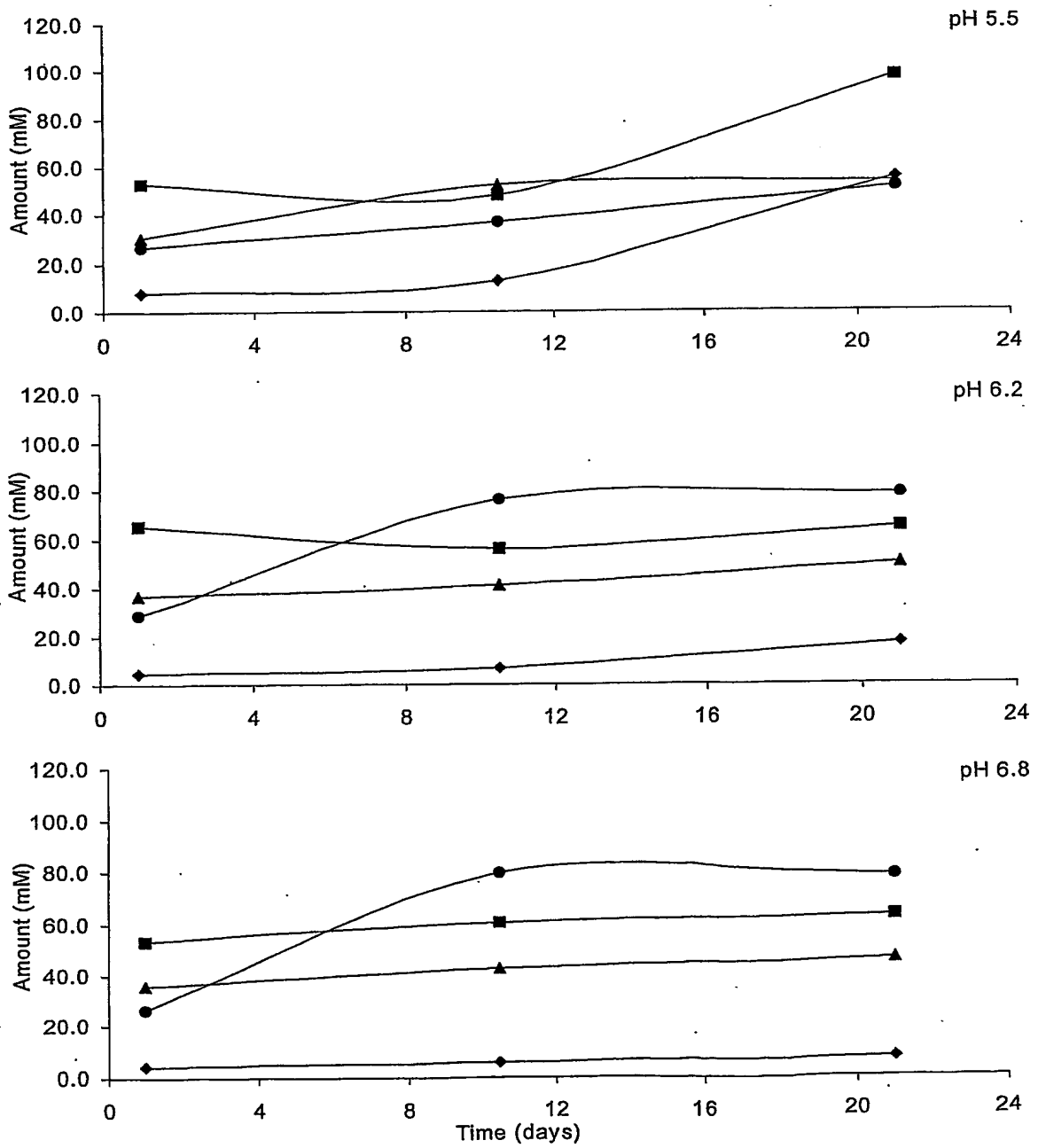
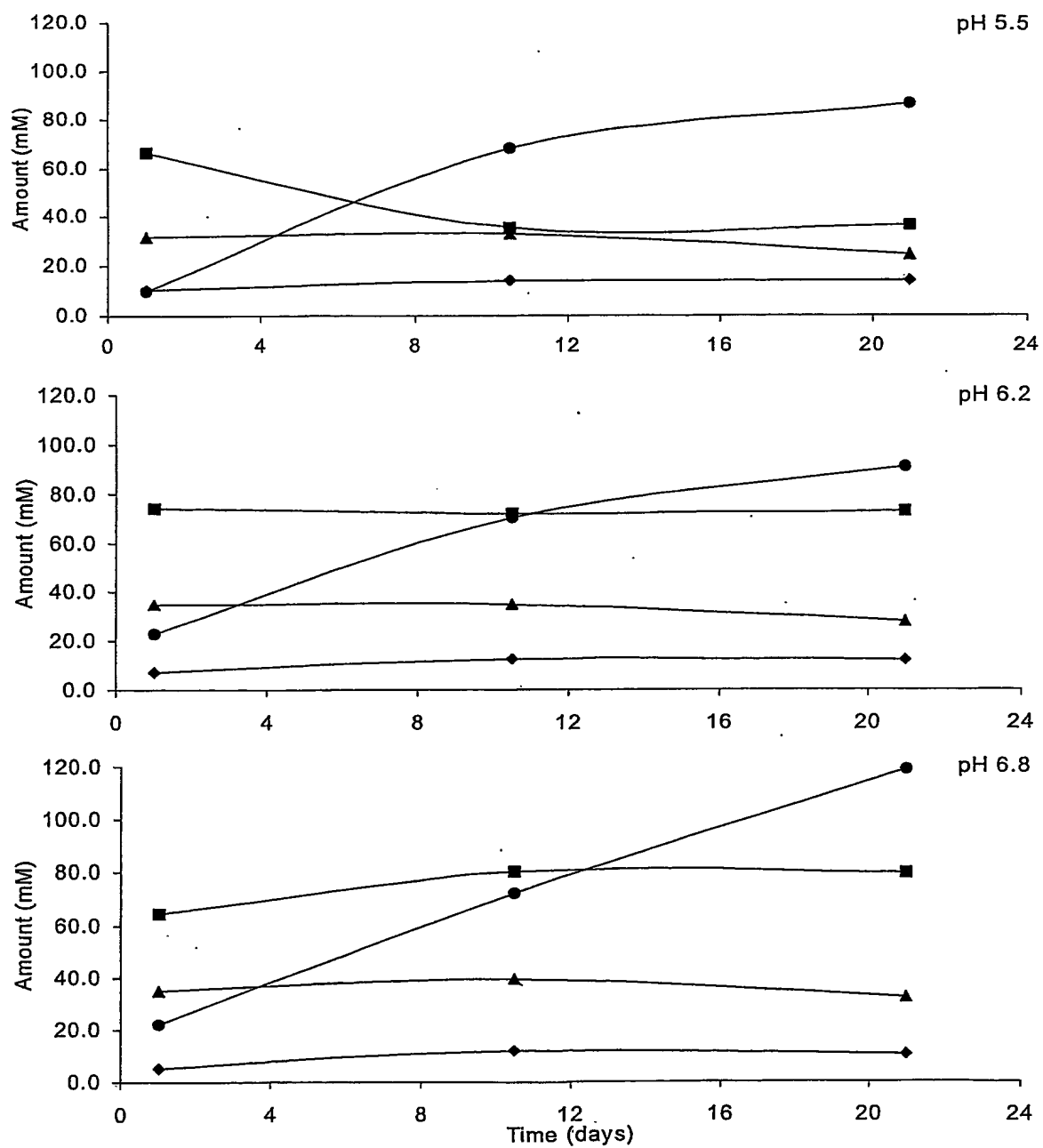


Figure 4/4:



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